

From: [Zhulin Han](#)
To: [Ryan Fowler](#)
Subject: Information for Rule 1166 Plan
Date: Thursday, November 14, 2019 9:57:12 AM

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Hello Ryan,

Thank you for your call earlier. Per your inquiry, please see the information below regarding Excavation Plan Applications (Rule 1166):

A Rule 1166 plan shall be submitted for the excavation, grading, handling, and treating of VOC containing soil (per [Rule 1166\(c\)\(1\)](#) on page 1166-2). VOC containing soil is soil that contains VOC (there is no lower limit threshold). VOC stands for volatile organic compounds. Typically VOCs that are encountered may include gasoline, diesel, crude, waste oil, adhesive, paint, solvent, etc., but it could be any other VOC containing material.

There are two types of Rule 1166 plans: 1) Various Locations Plan and 2) Site Specific Plan.

1. A Various Locations Plan is designed for contractors that conduct on a regular basis smaller scale excavations. This plan allows the plan holder to operate at multiple sites under a single plan. A Various Locations Plan may be continuously renewed on an annual basis. A various locations plan may be obtained if:
 - The excavation involves less than 2000 cubic yards of VOC-contaminated soil in any 12 month period at a particular site,
 - Another various locations plan is not being used at the same site within a 12 month period,
 - The excavation site is greater than 1000 feet from the outer boundary of a school, and
 - The excavation does not involve grading, soil treatment or remediation, or landfills.

Submitting a Rule 1166 Various Locations Plan requires:

- A signed and completed [Form 400-A](#) (please indicate Compliance Plan in Section D7a),
 - [Instructions for Form 400-A](#)
 - A signed and completed [Applicant's Statement](#)
 - A check in the amount of \$322.50
2. A Site Specific Plan is designed for larger excavations and projects that cannot obtain a Various Location Plan. Note that a Site Specific Plan expires two years from the date of issuance, and cannot be renewed beyond two years.

Submitting a Rule 1166 Site Specific Plan requires:

- A signed and completed [Form 400-A](#) (please indicate Compliance Plan in Section D7a),
 - [Instructions for Form 400-A](#)
- A signed and completed [Form 400-CEQA](#)
 - [Instructions for Form 400-CEQA](#)
 - If a CEQA document has been prepared for the project, include the Notice of Determination and any Air Quality mitigation measures identified in the CEQA document.
- Reasons for excavation or grading.
- A site summary indicating the cause of VOC soil contamination and the site history.
- Description of tanks or piping associated with the soil contamination (if applicable).
- The operating schedule for excavation and removal (hours/day, days/week, weeks/year, or equivalent).
- Description of how the excavation or grading will be conducted.
- Description of mitigation measures for dust, odors, and VOC.
- Details of disposal of VOC contaminated soil, including the name of the facility where contaminated soil will be taken for treatment or disposal.
- Description of monitoring equipment and techniques.
- A plot plan indicating the location of the excavation, with property lines, and surrounding area up to 2500 feet away, and including any schools, residential areas or other sensitive receptors such as hospitals or locations where children or elderly people live or work.
- Designation of a person who can conduct a site inspection with the Executive Officer prior to issuance of the plan.
- The total amount of soil to be excavation in cubic yards under this project.
- The total amount of soil that could be stockpiled on site at any one time in cubic yards under this project.
- Any soil/soil gas analysis that may have been conducted for the site.
- A check in the amount of \$725.60

If you need the plan in an expedited fashion, you may want to request for an expedited plan by submitting:

- A signed [Form 400-XPP](#)
- An additional 50% more fees from the plan fees listed above.
- Please note that requesting expedited processing does not guarantee that you will receive plan approval within in a certain timeframe, but it will allow dedicated time for our staff to process the plan based on staff availability.

Plan Application Submittals shall be mailed to:
South Coast Air Quality Management District
Attention: Standard Permits
P.O. Box 4944
Diamond Bar, CA, 91765-0944

-or-

May be dropped off in person at the Cashier's Desk at our headquarters at:
South Coast Air Quality Management District
[21865 Copley Drive](#)
Diamond Bar, CA, 91765

For your information you can check out the [Rule 1166 Site Specific and Various Locations Mitigation Plan webpage](#) to see an example of a [Sample Rule 1166 Site Specific Plan](#). [1166 Notification Forms](#) are also available at that location.

Also note that South Coast AQMD is open Tuesday – Friday from 7am-5:30pm. We are closed on Mondays.

Please feel free to contact me with any questions.

Best,

